

# The gun RF control at FLASH (and PITZ)

Elmar Vogel

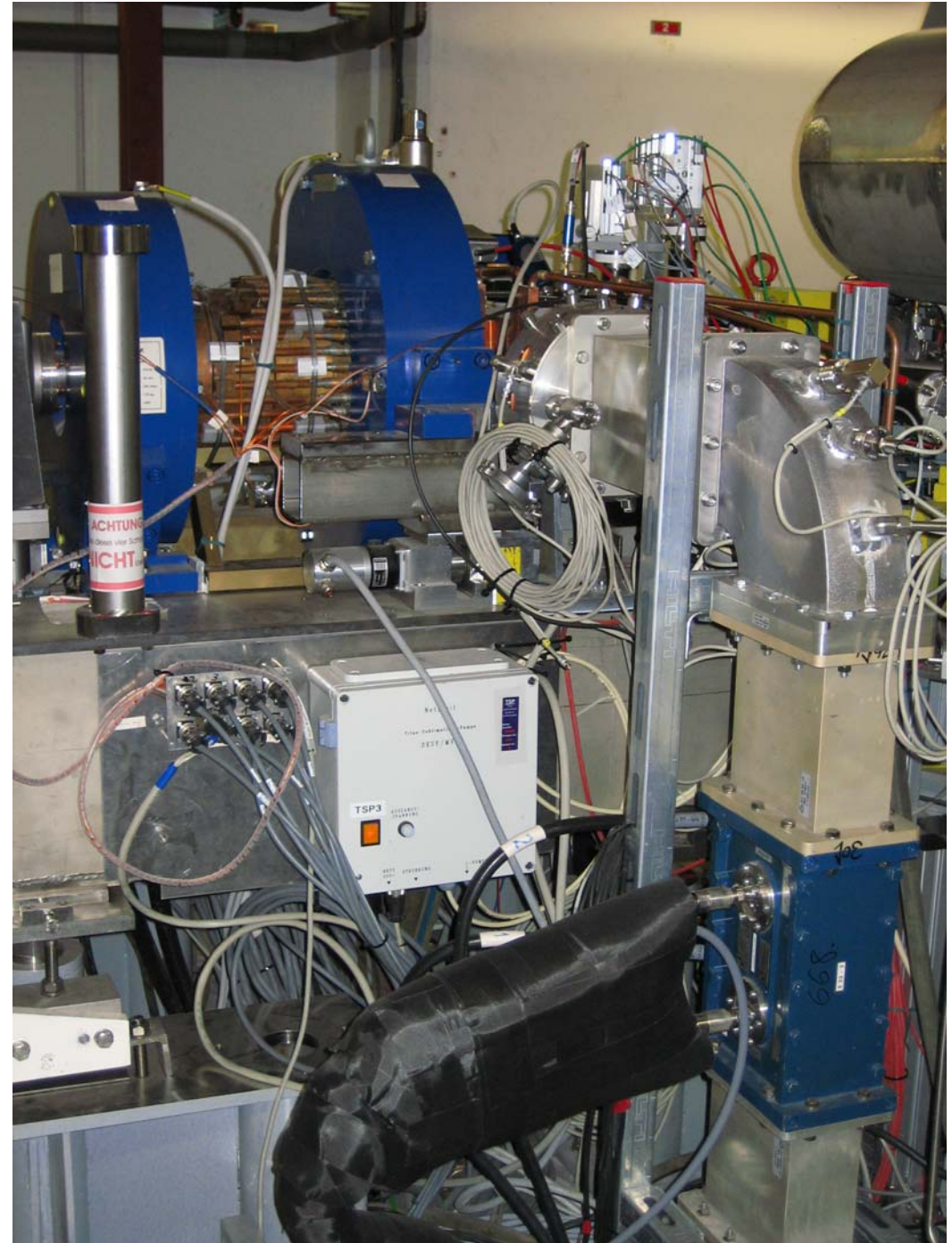
in collaboration with

Waldemar Koprek and Piotr Pucyk

FLASH Seminar at December 19<sup>th</sup> 2006

# FLASH rf gun

- beam generated within the (1.3 GHz) RF gun by a laser
- filling time: typical 55  $\mu\text{s}$
- flat top time: up to 800  $\mu\text{s}$
- pulse repetition: up to 5 Hz
- high RF field: 40 MV/m
- FEL operation is sensitive to RF gun phase (0.5 deg)
- via the temperature the frequency is controlled (0.1 deg Celsius corresponds to 2.1 deg in RF phase)



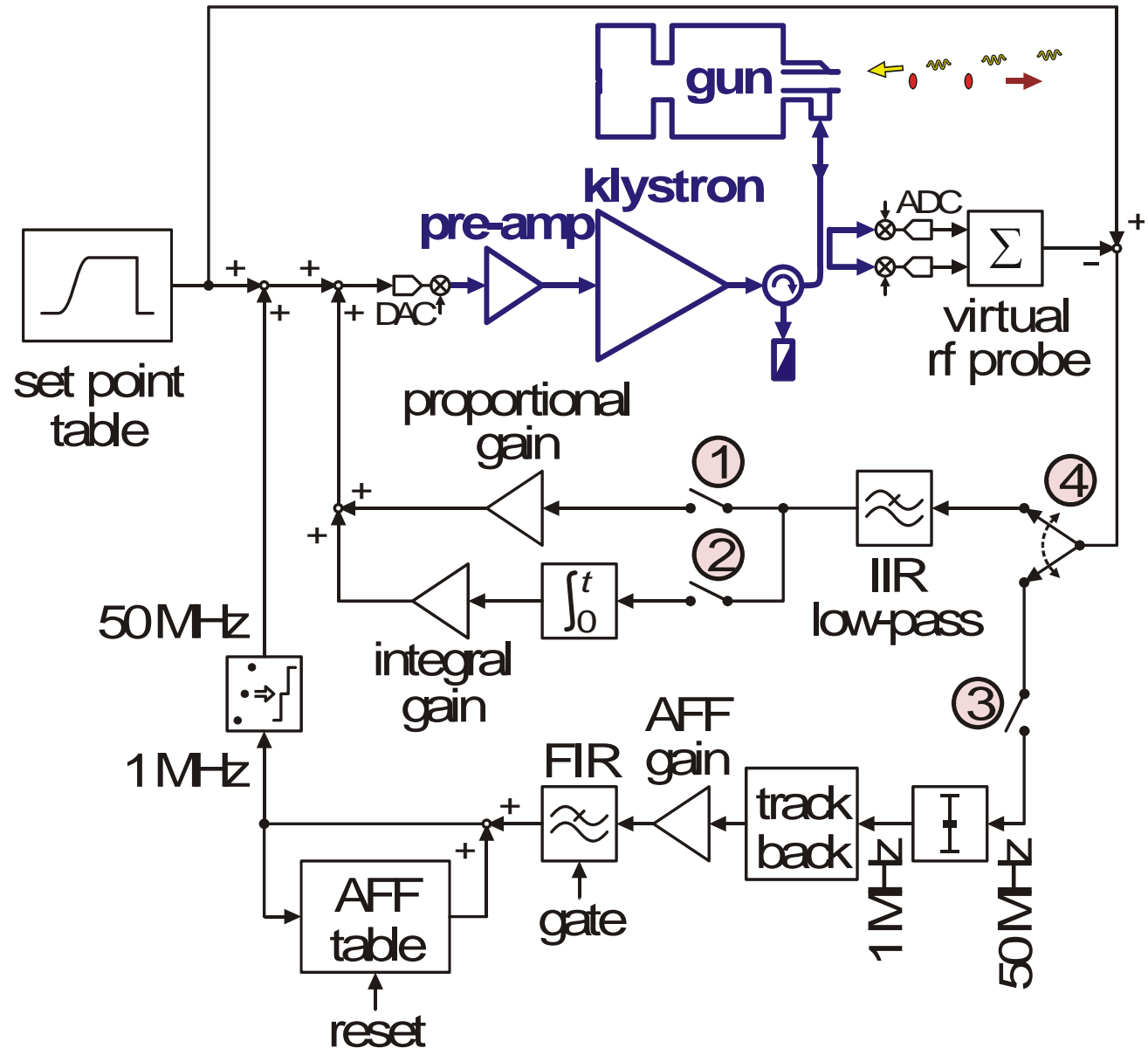
# Rf gun control by SimCon 3.1 and some new algorithms

## Implications of missing probe:

- calculation of **probe** form **forward** and **reflected** rf
- **calibration** is an issue

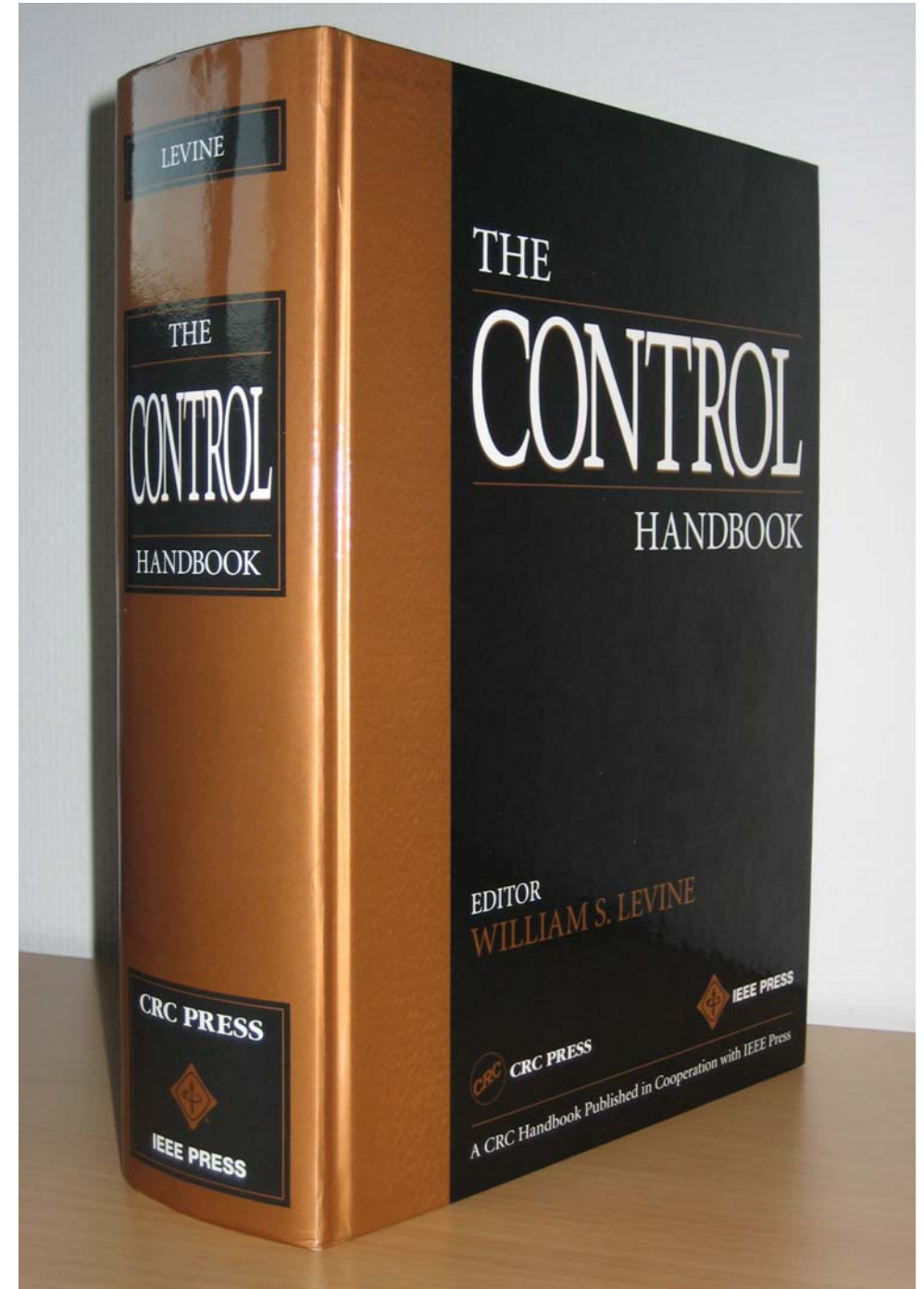
## Algorithms:

- **P(I) control** with recursive **20 kHz low-pass (IIR)** for stability at 'high' gain (>5)
- Adaptive feed forward (**AFF**) from **rf pulse to rf pulse**





# first some theory ...

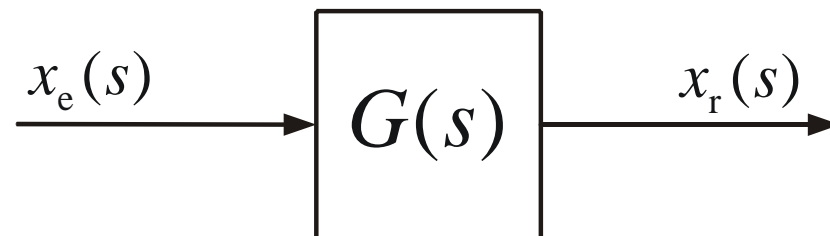


## Envelope of RF cavity field: low pass (PT<sub>1</sub>-element)

Amplitude/phase and IQ respectively obey a first order differential equation. Laplace transform results in the transfer function:

$$\tau \frac{d}{dt} x_r(t) + x_r(t) = x_e(t) \quad \Rightarrow \quad \int_0^{\infty} dl e^{-st} \dots \quad \Rightarrow \quad G(s) = \frac{x_r(s)}{x_e(s)} = \frac{1}{1 + \tau s}$$

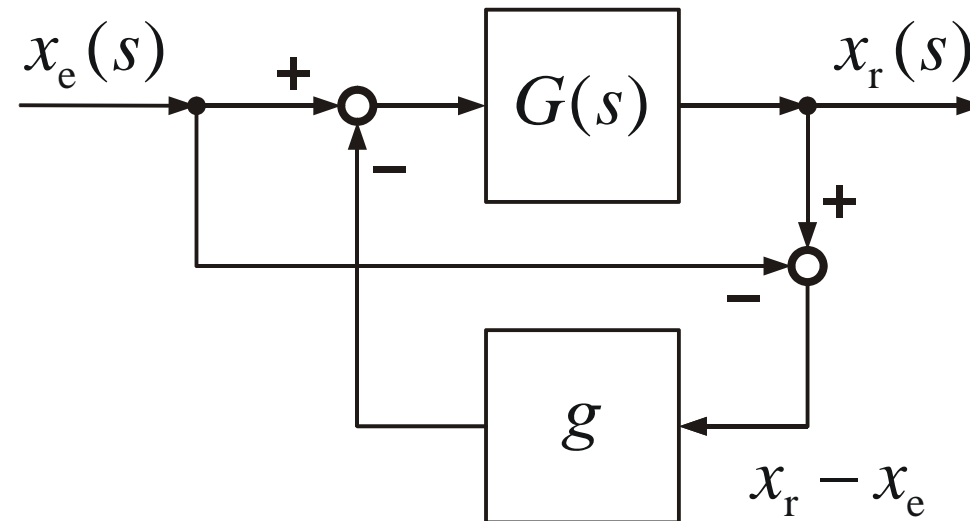
Block diagram, representing the transfer function:



**Question:** How to force the output signal to follow closer/faster the input signal?

# Proportional control

Feeding back the error signal  $x_r - x_e$



increases the bandwidth

$$\tau \frac{d}{dt} x_r(t) + x_r(t) = (x_e(t) - g(x_r(t) - x_e(t))) \quad \Leftrightarrow \quad \frac{\tau}{1+g} \frac{d}{dt} x_r(t) + x_r(t) = x_e(t)$$

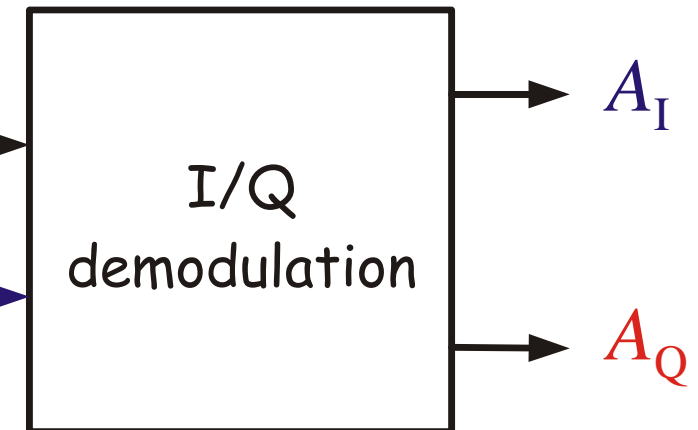
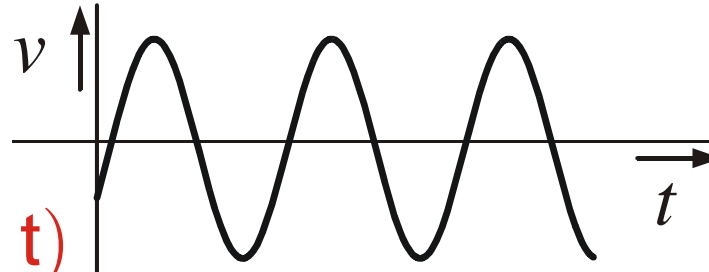
- response signal  $x_r(t)$  follows quicker the stimulation signal  $x_e(t)$
- errors are suppressed by the factor  $1+g$ .

# 'IQ' instead of amplitude and phase

- quadrature (**IQ**) detection **rather than** dealing with **amplitude and phase**
- phase calibration by rotation matrices
- **no manual phase adjustment** needed!

arbitrary RF signal

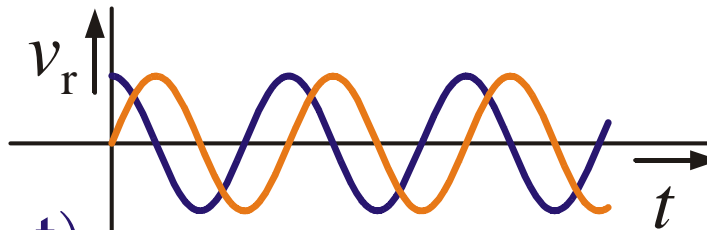
$$v = A_I \cos(\omega t) + A_Q \sin(\omega t)$$



reference RF signal  
(same frequency)

**90° shifted**

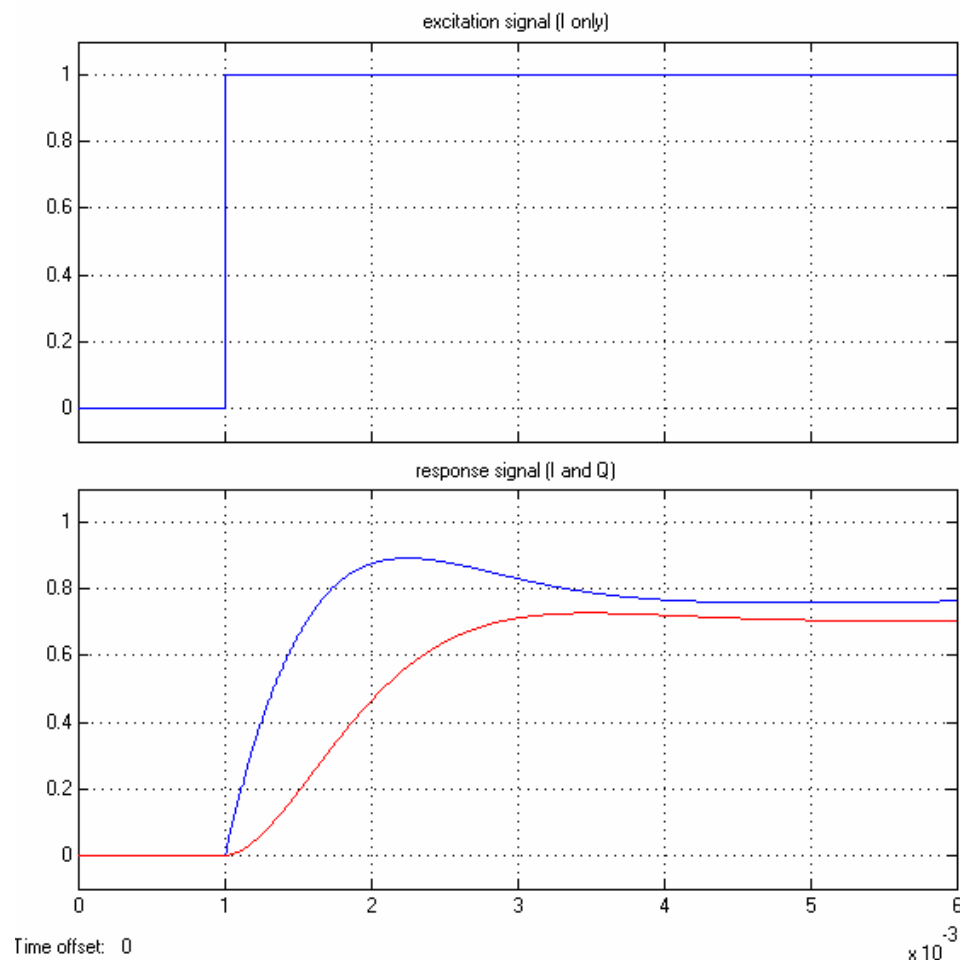
$$v_{rI} = \cos(\omega t)$$
$$v_{rQ} = \sin(\omega t)$$



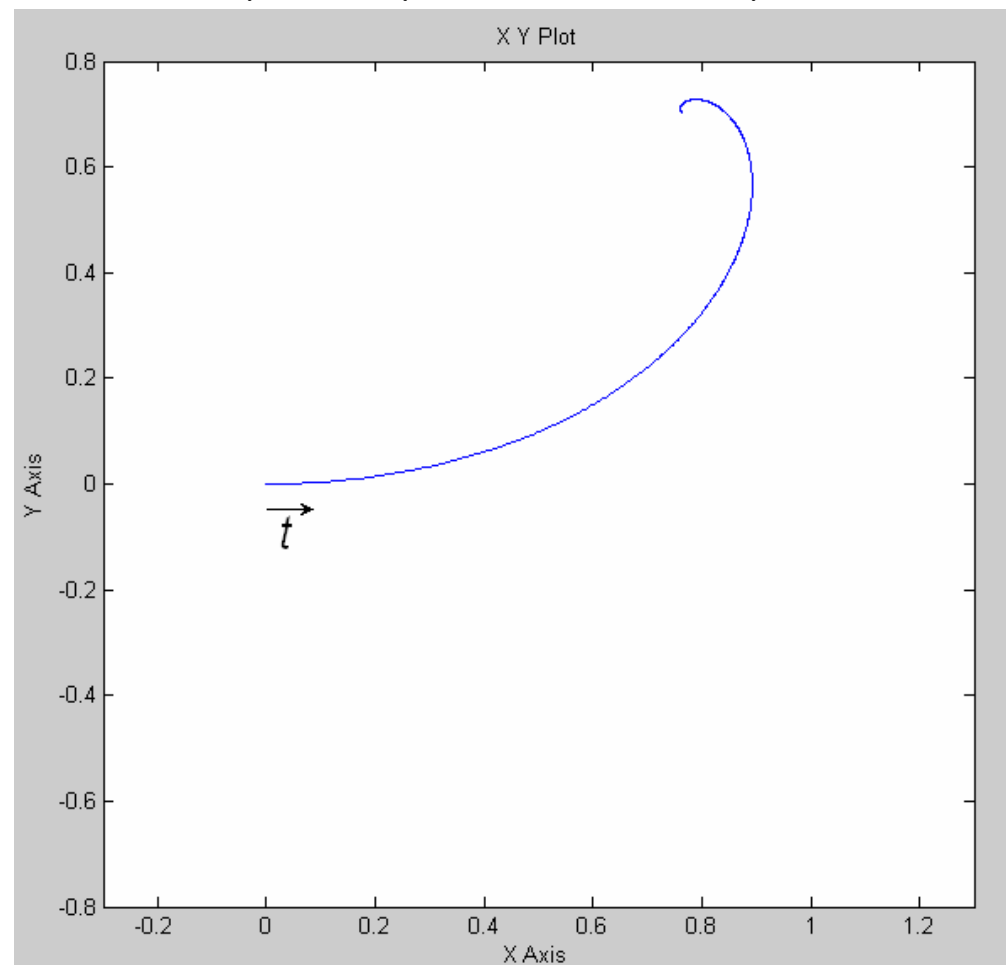
## (IQ) loop phase determination

- non zero loop phase leads to an unwanted mixture of I and Q
- applying a step function (I only) and recording the response (example for  $\Delta f = 200$  Hz)

excitation & response in time domain



response plotted in IQ plane

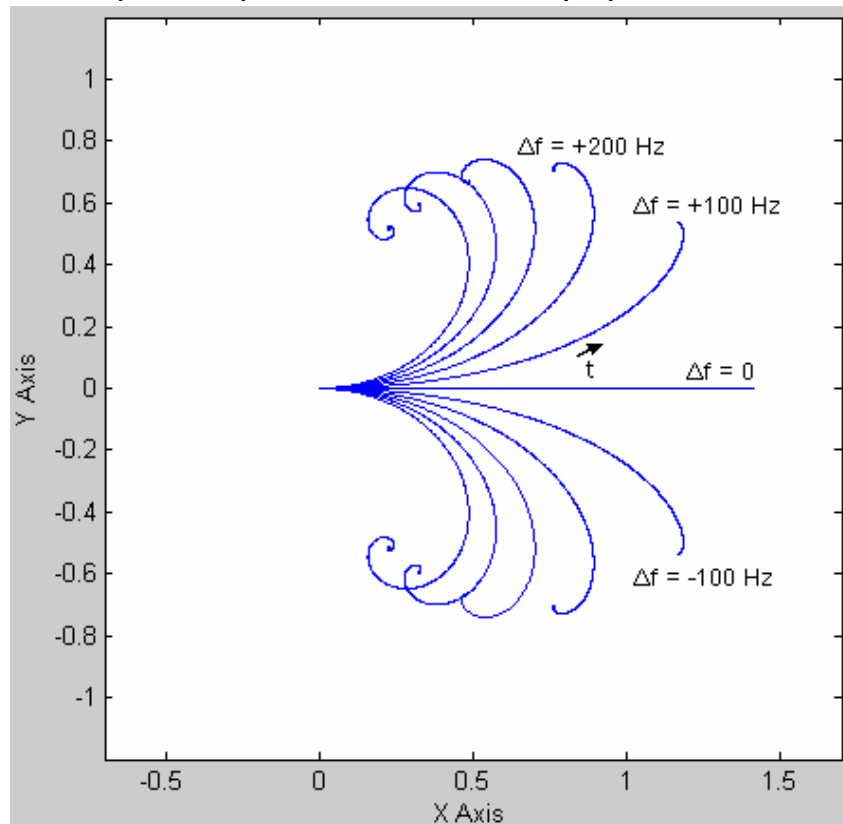




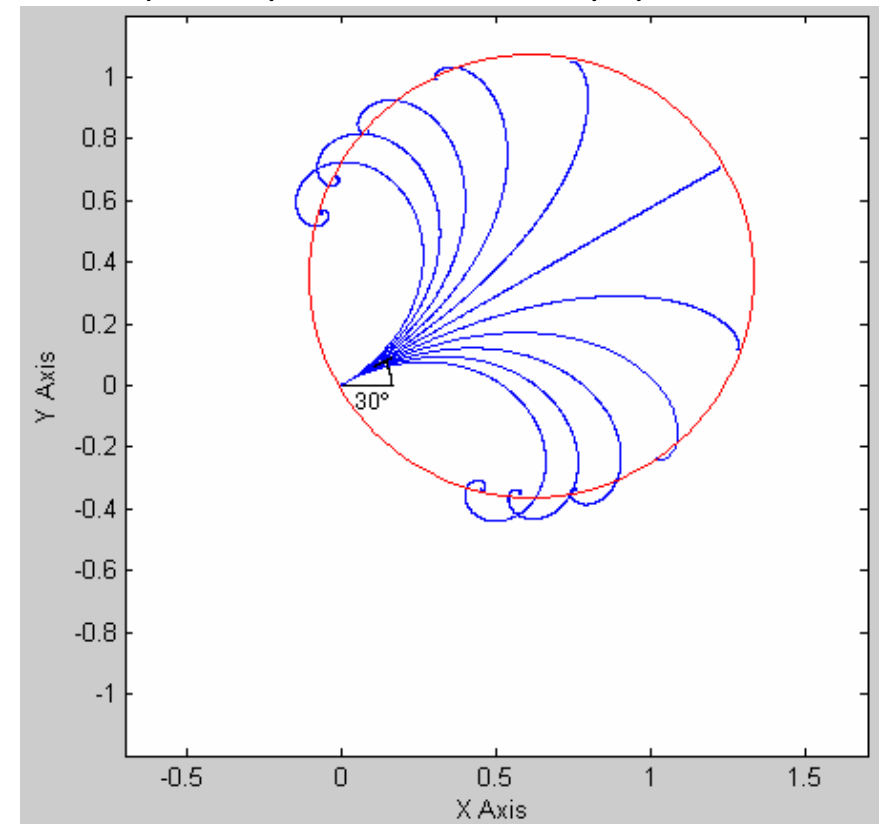
# Spiral like cavity response

- the **initial angle** gives the **loop phase**
- final IQ values for different tuning describe a circle
- Alexander Brandts loop phase calibration methods are based on 'circle fitting'

cavity response for loop phase zero



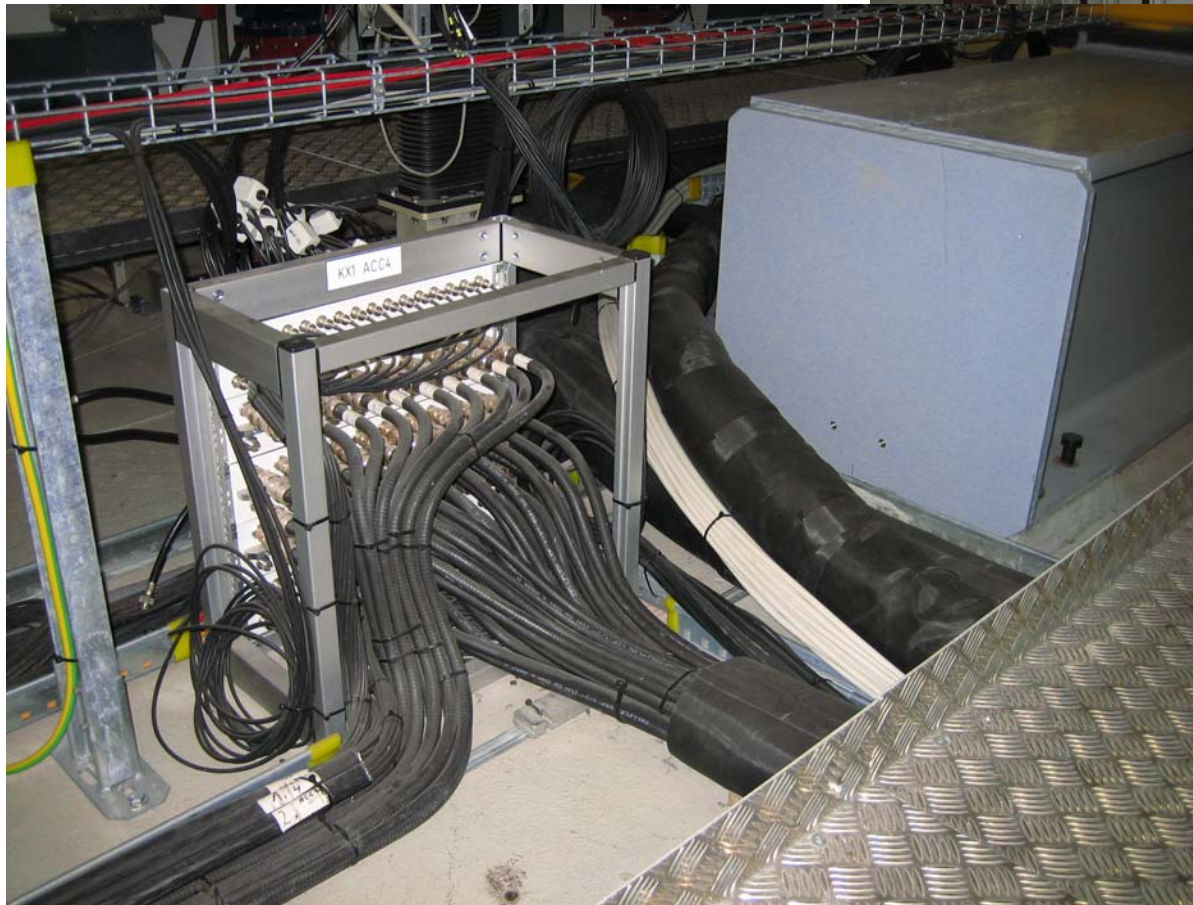
cavity response for loop phase  $30^\circ$



Plots for the sc 1.3 GHz TESLA cavities, the RF gun behaves similar!

# Propagation time of signals (latency)

Signals require time to propagate through cables, ...



... LLRF and high power RF.

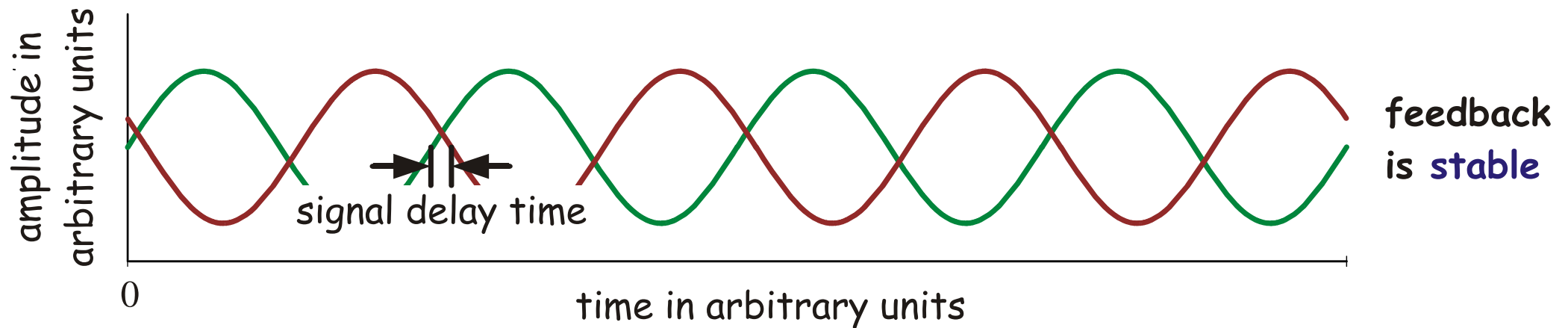
Numbers for the FLASH RF gun:

- $0.7 \mu\text{s}$  by cables klystron etc.
- $0.15 \mu\text{s}$  by FPGA (ADC to DAC)
- $0.35 \mu\text{s}$  by algorithm

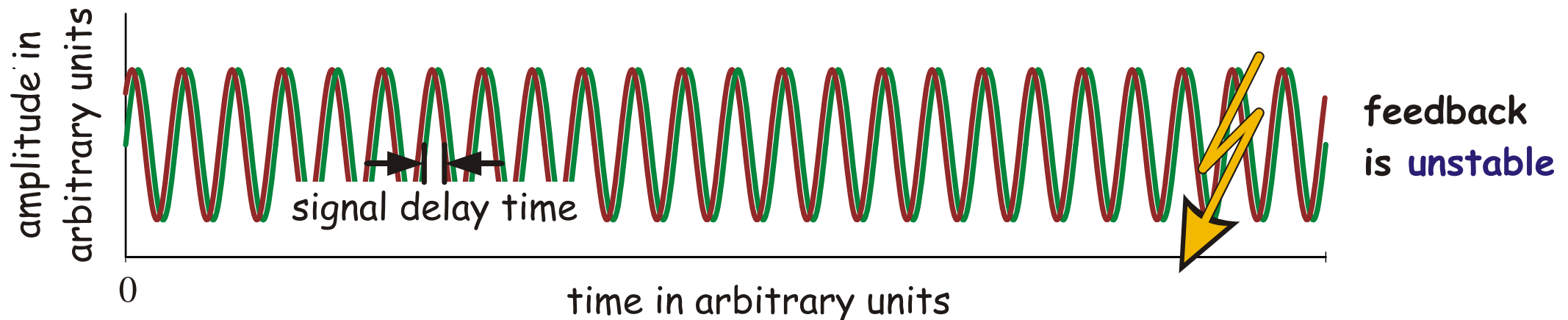
# Latency restricts proportional gain and loop stability

A time **delay** leads to an unwanted **positive feedback** for higher frequencies

**negative feedback** for low frequencies

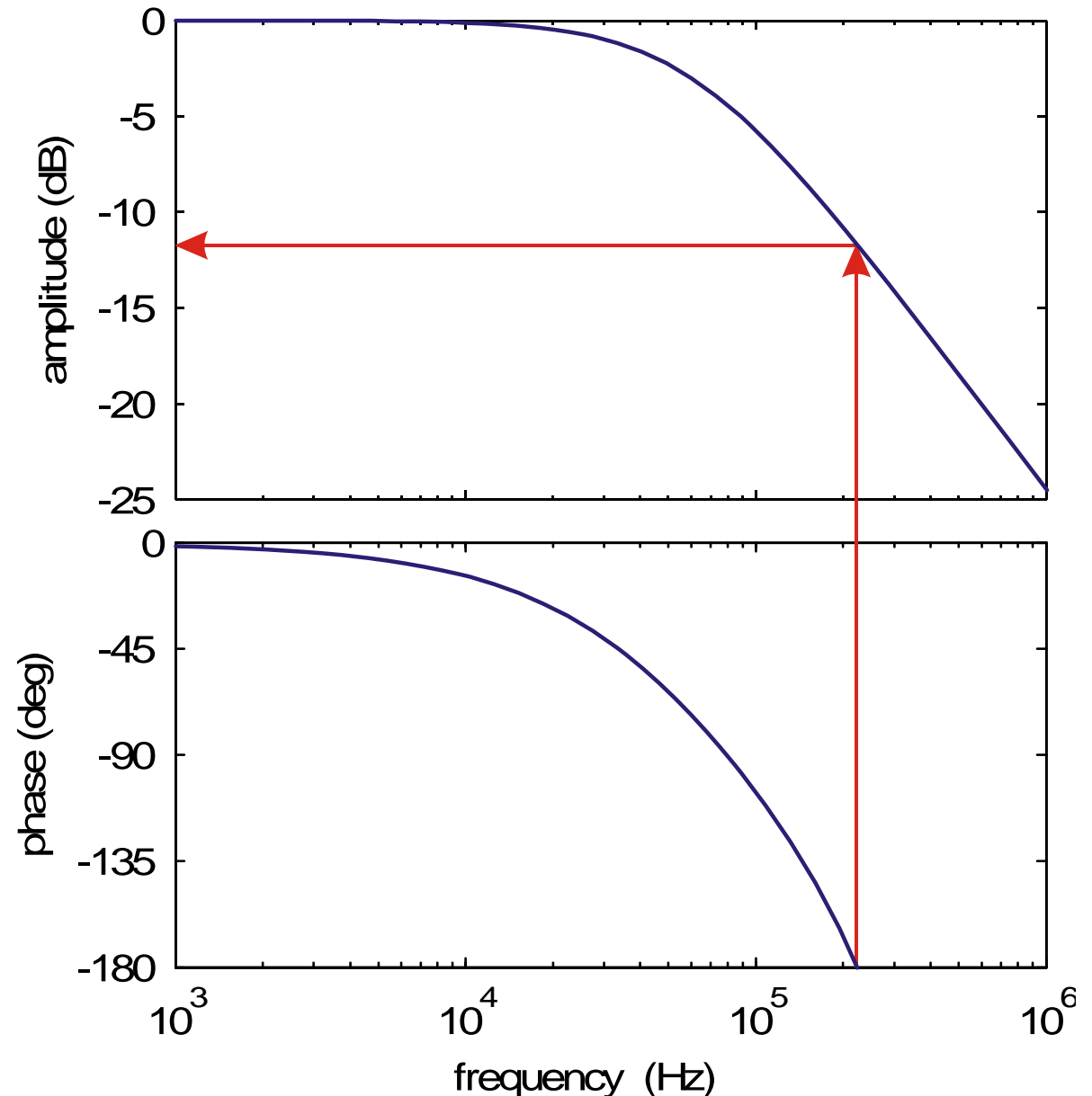


**positive feedback** for high frequencies



# Inspection of open loop transfer function bode plot

- loop is unstable if the **phase shift is larger than 180 deg** and the **signal amplified**
- by drawing **Bode plots** we can **check** whether this is the case
- we can operate the gun with a **gain of about 3** (4 minus margin)

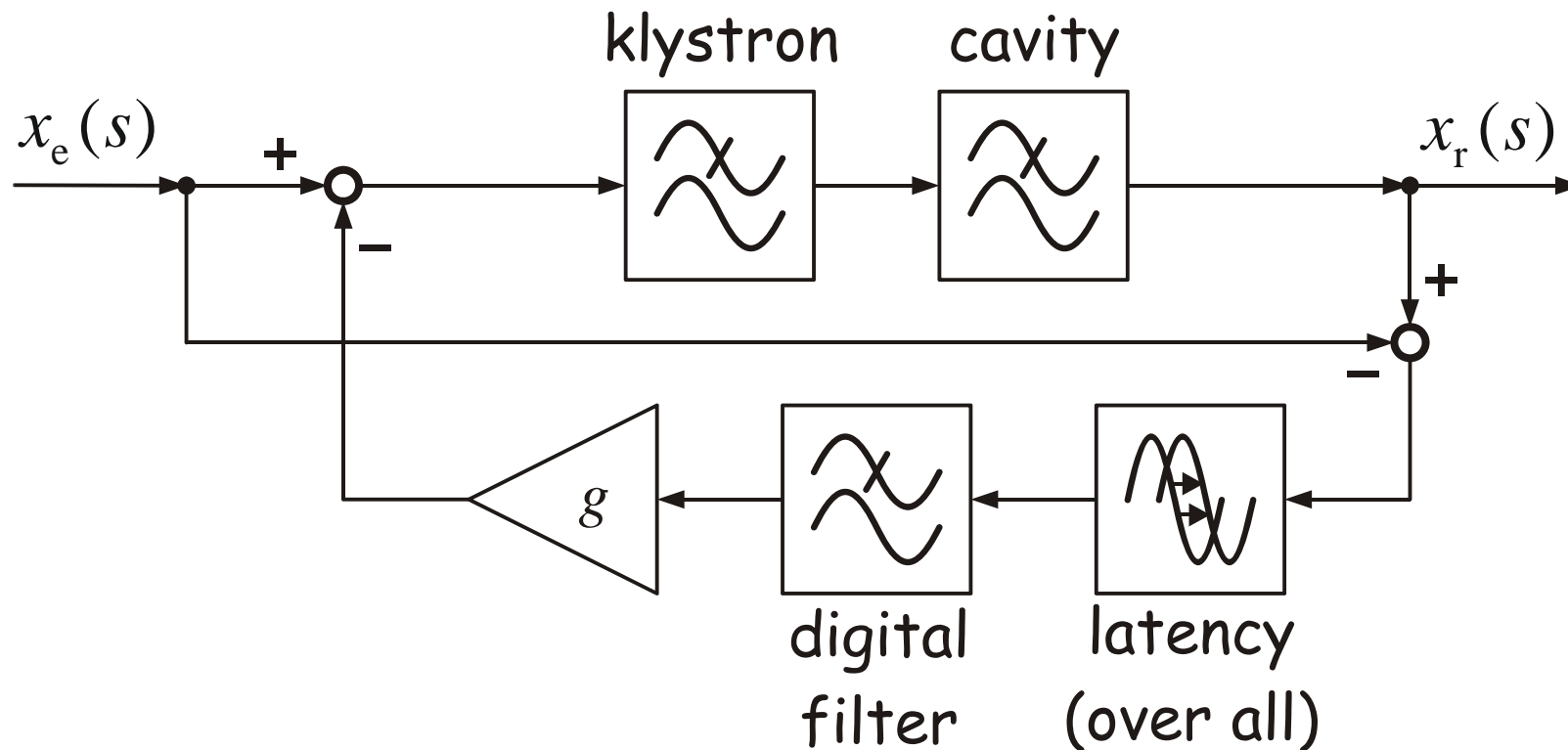


(Gun bandwidth: 60 kHz)

# Suppression of high frequencies

Suppression of high frequencies by

- the cavity bandwidth
- the restricted bandwidth of high power RF (e.g. klystron)
- and digital low pass filters in the LLRF.



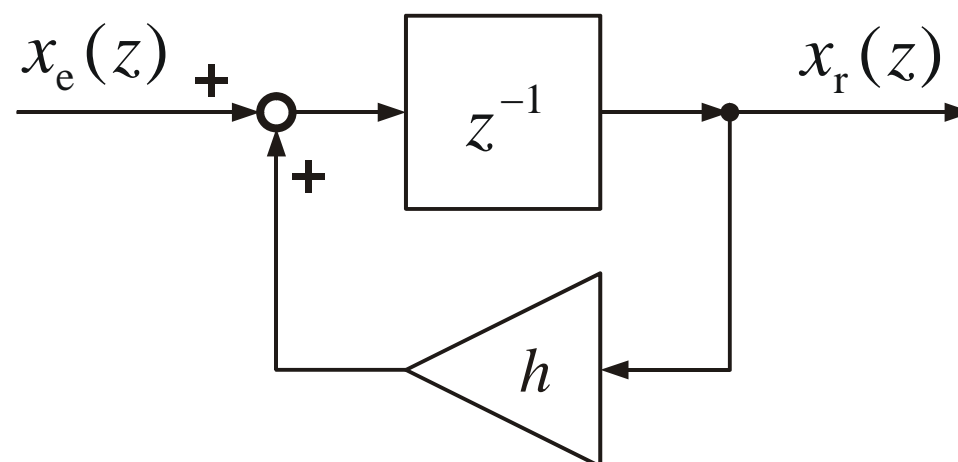


# Recursive or Infinite Impulse Response (IIR) filter

- IIRs are usually digital copies of analog filters
- impulse response of an analog low pass is an exponential decay
- to model this we reduce the output of a one step delay by

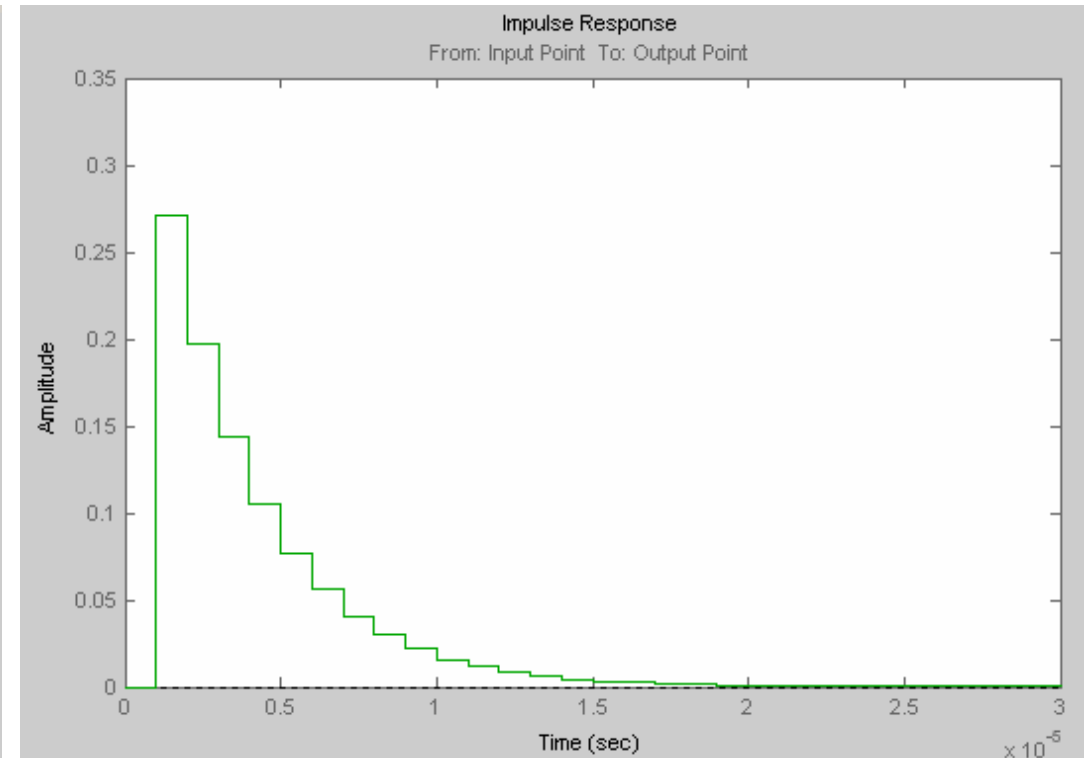
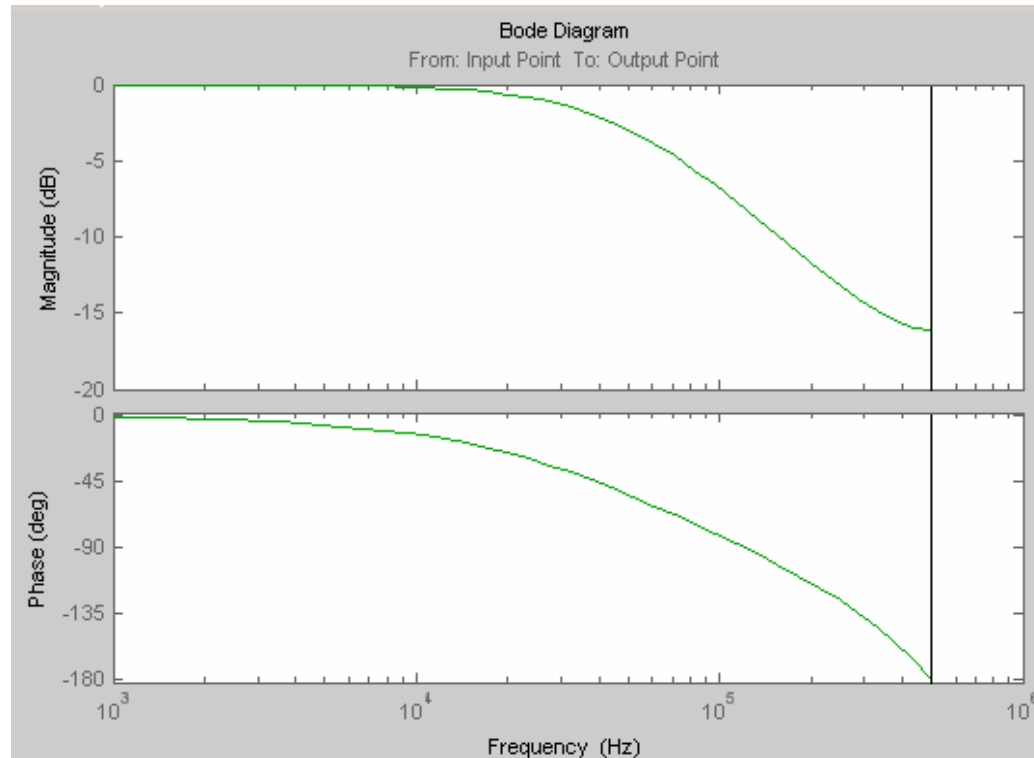
$$h \approx 1 - \frac{2 \pi f_{3\text{dB}}}{f_{\text{samp}}}$$

- and add it to the next input for the delay





# Response of 50 kHz IIR with 40 MHz sample frequency

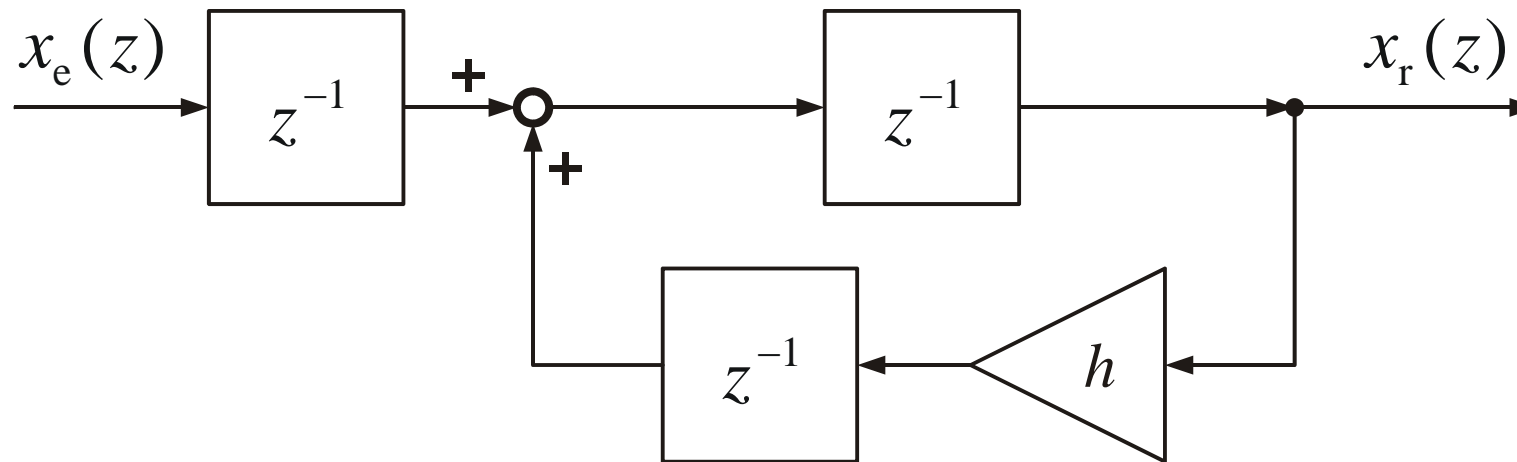


**Advantage:** the signal **delay** is only **one sample step** (25 ns)

**Disadvantage:** nonlinear phase response  $\Rightarrow$  different group delay  
 $\Rightarrow$  signal distortion

## Concession to real life

- multiplication and sum can hardly performed together in FPGA



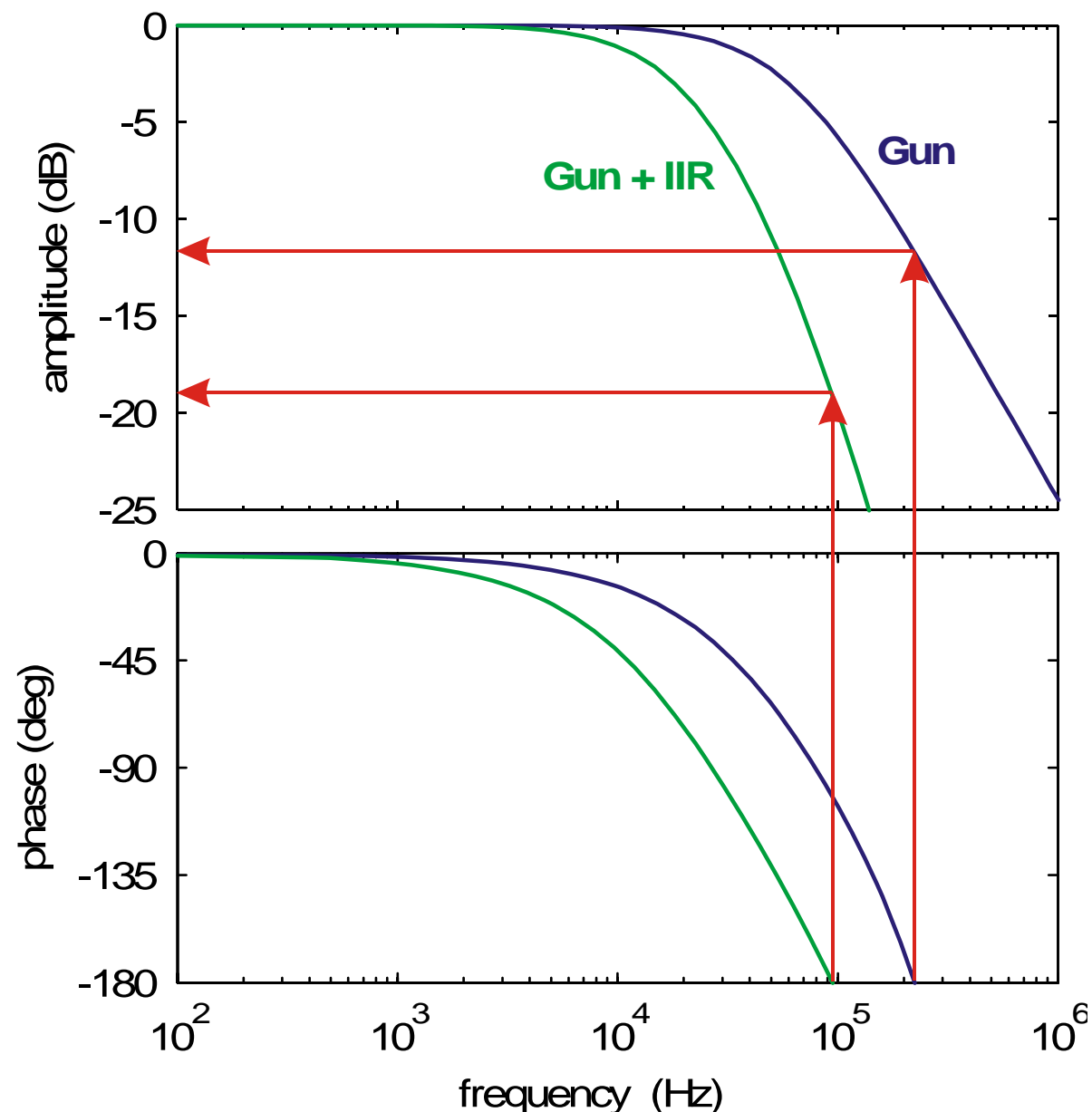
- additional delays double reduction value

$$h \approx 1 - \frac{4 \pi f_{3\text{dB}}}{f_{\text{samp}}}$$

- Bode diagram and the impulse response is similar to previous version

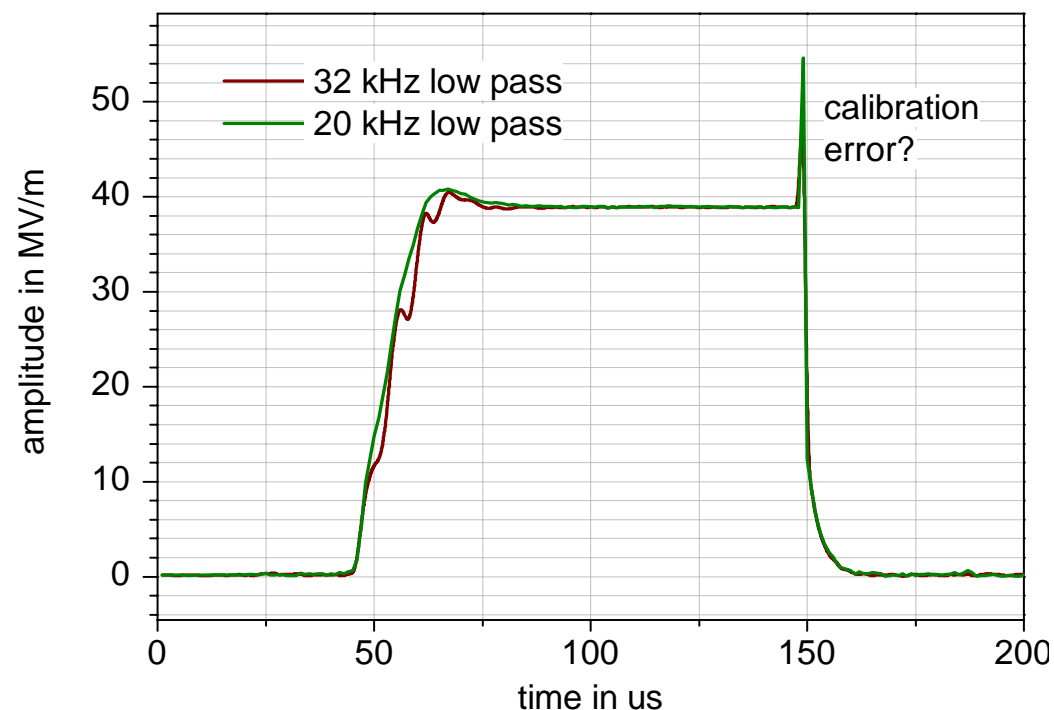
## Bode plot of 'gun with 20 kHz IIR'

- with 20 kHz low pass we can operate the gun with a gain of about 6 (8 minus margin)

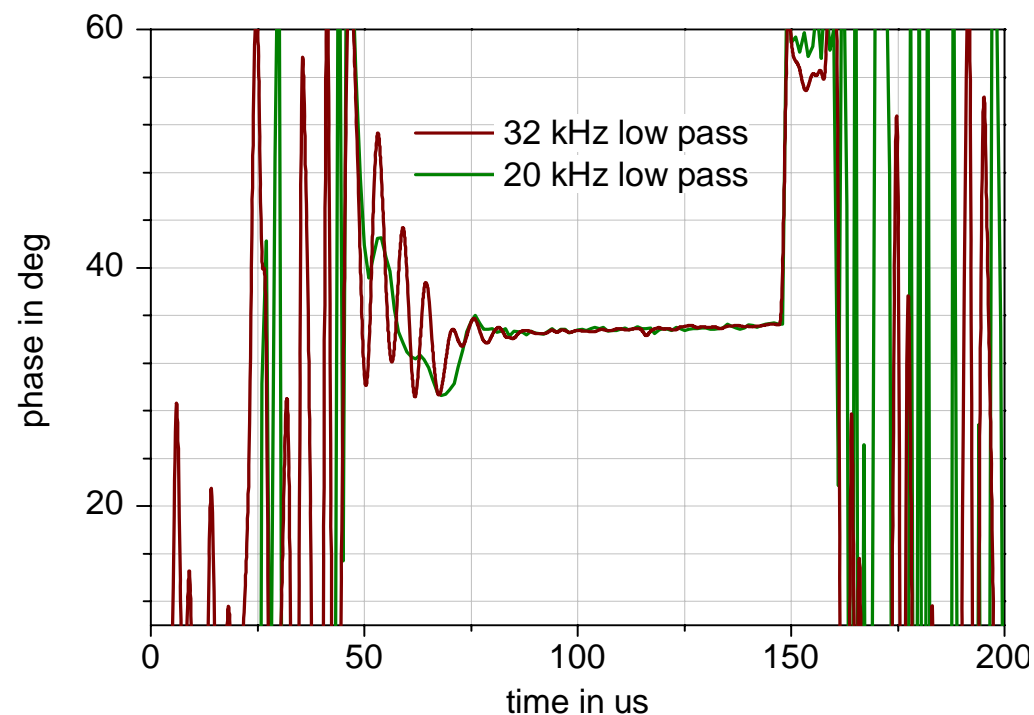


# Confirmation by measurement

amplitude with proportional IQ  
control and gain larger 3



phase with proportional IQ  
control and gain larger 3



**Conclusion:** an edge frequency of 20 kHz shall be used in practice

## Question:

How to **get rid** of

- **systematic errors** due to imperfect technical components?
- errors varying slower than the pulse repetition (**drifts**)?

## Answer:

Using an **addaptive feed forward** (AFF).

# Main idea of adaptive feed forward algorithms

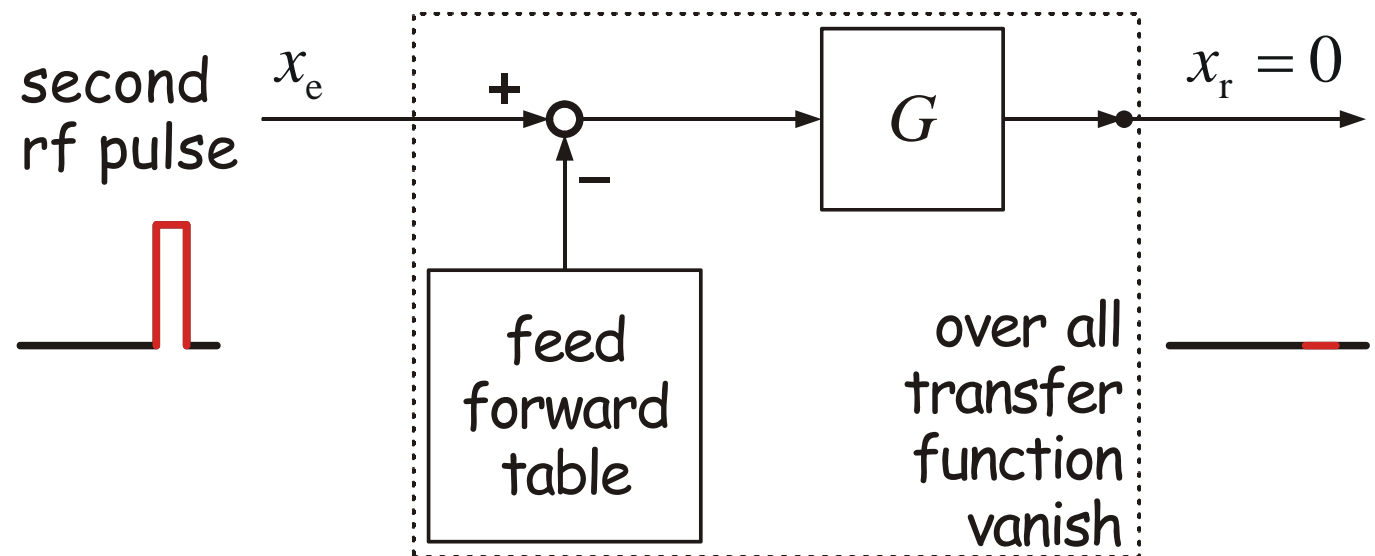
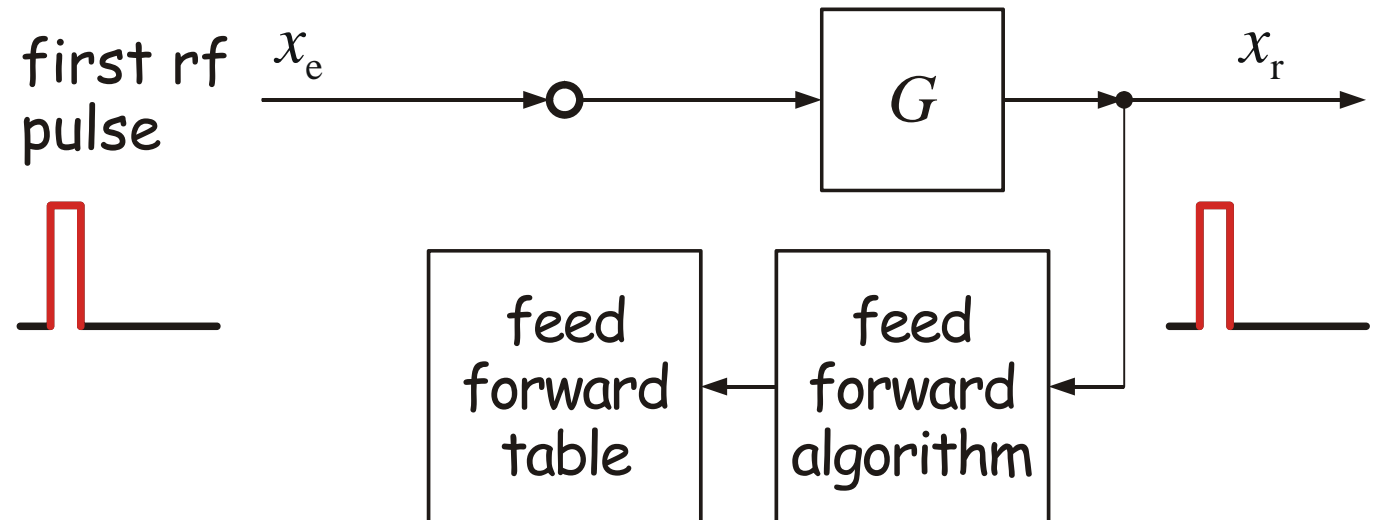
- each RF pulse shows similar errors
- transfer function of the ideal system is 'well-known'
- calculate back the input signal for the ideal system leading to the error
- subtraction from the set point signal minimizes the error

## Algorithms on the market use

- inverse system model from state space formalism
- 'tracking'
- 'time reverse' filtering

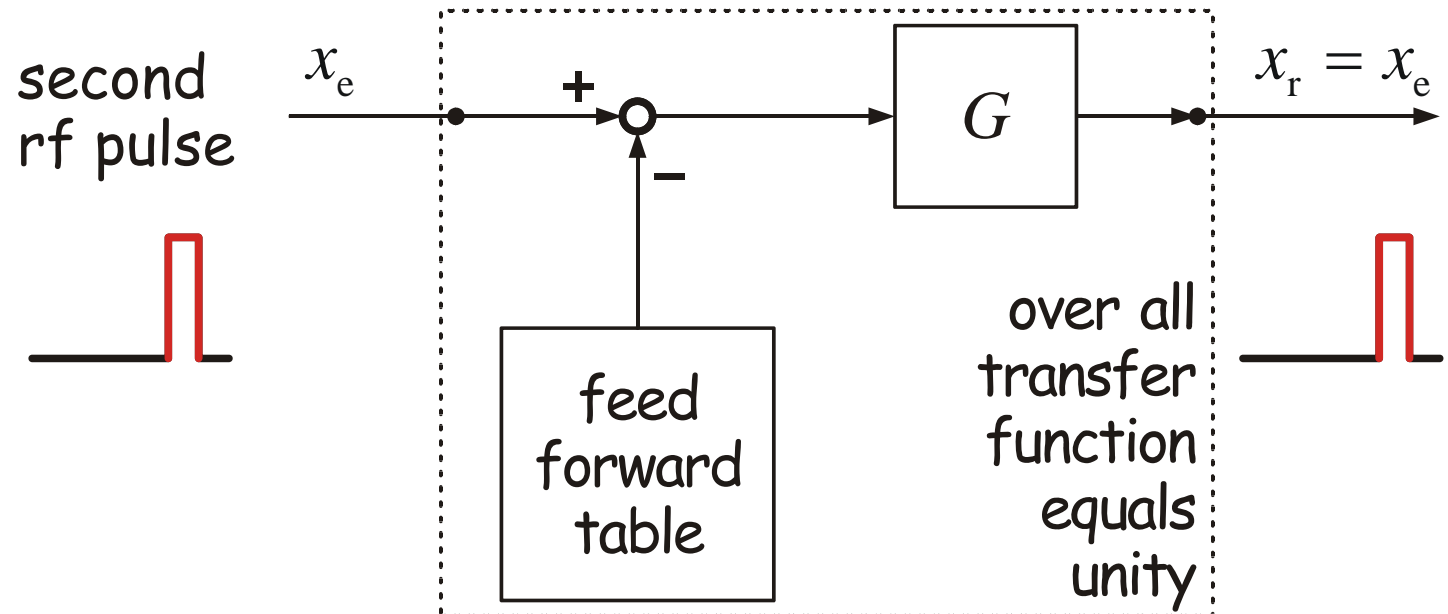
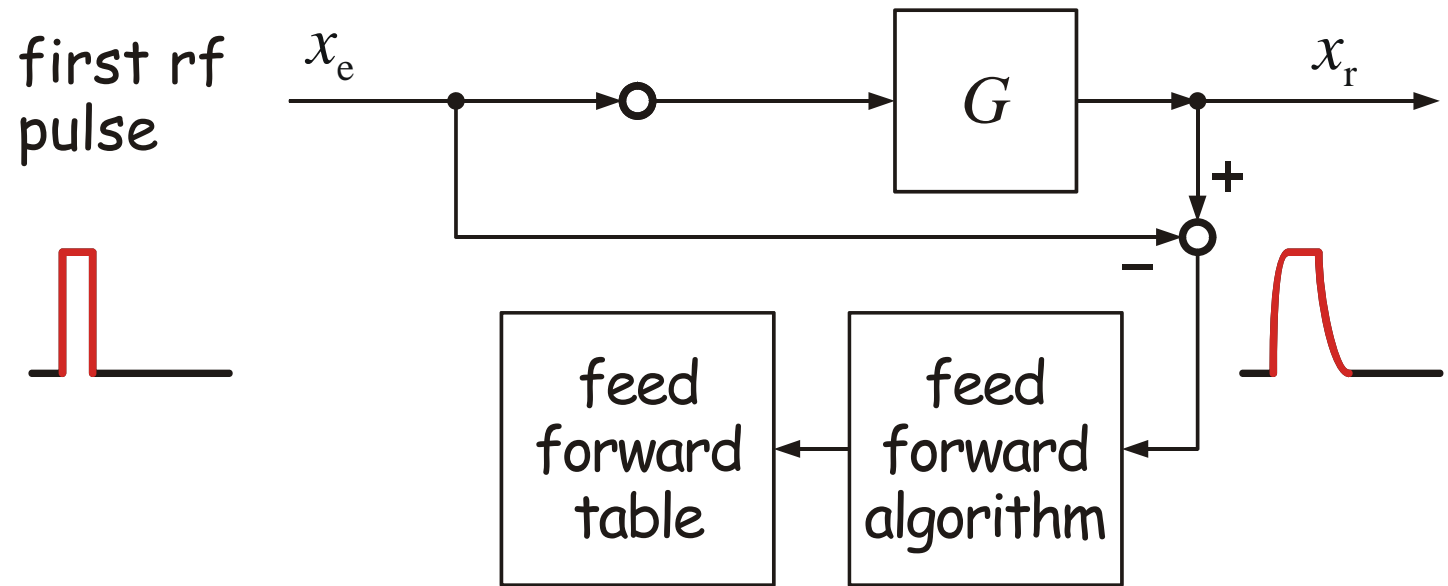


## Check of system ( $G$ ) inversion by AFF algorithm:



- application on ideal system ( $G$ ) output cancels next output

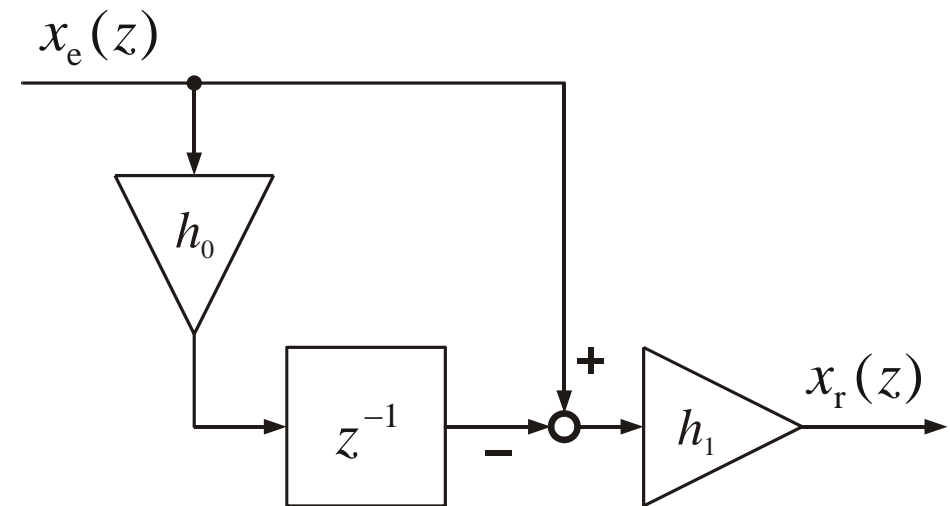
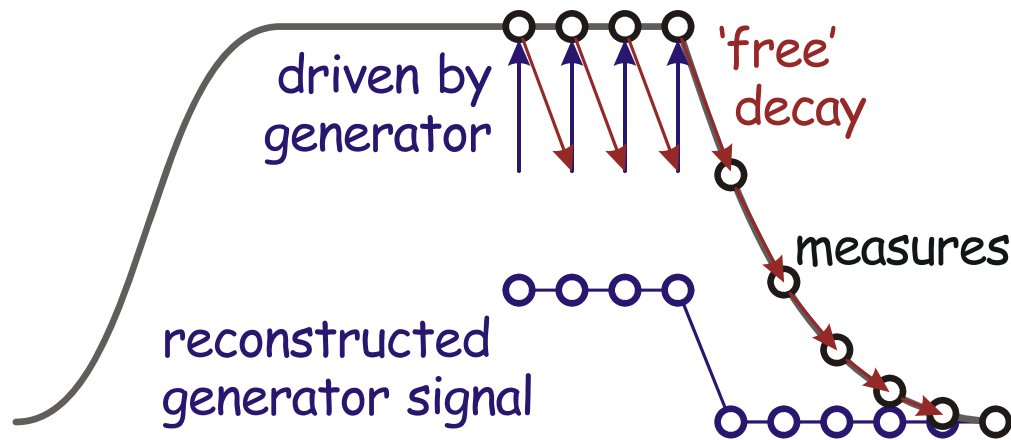
# Application of adaptive feed forward algorithm



- application on ideal system ( $G$ ) leads to unity transfer function for next pulse

# A lean adaptive feed forward algorithm using 'tracking'

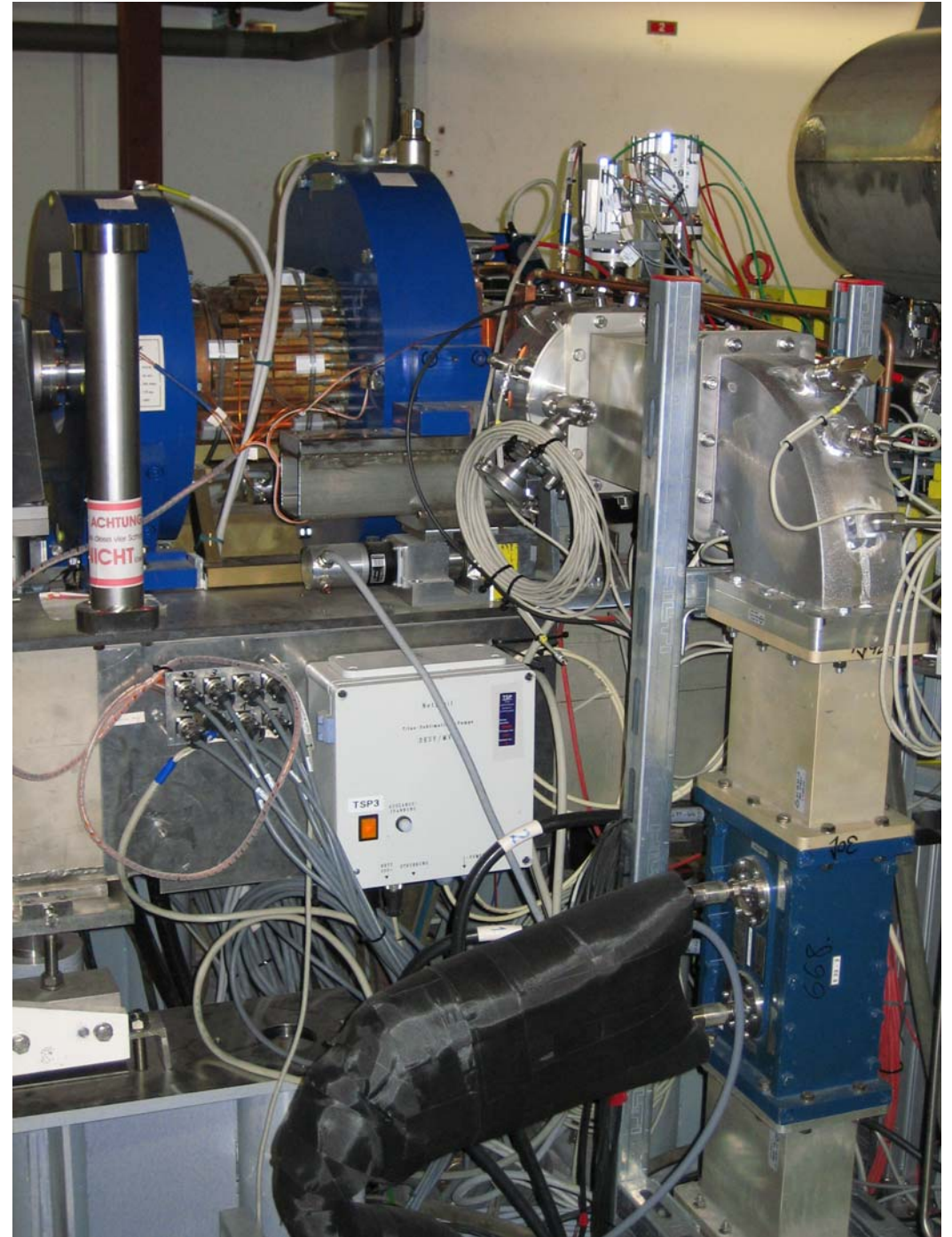
- calculate next sample
- difference is input signal driven by the generator
- ideal tuning assumed
- two subsequent I or Q samples used
- cavity time constant  $\tau$



'filter' coefficients:

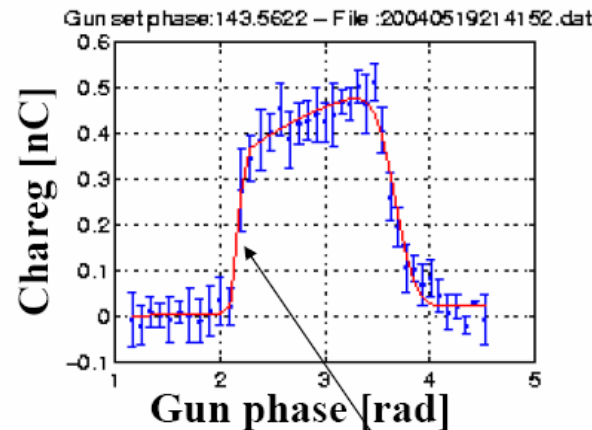
$$h_0 = 1 - \frac{1}{\tau f_{\text{samp}}} \quad \text{and} \quad h_1 = \tau f_{\text{samp}}$$

# to practice...

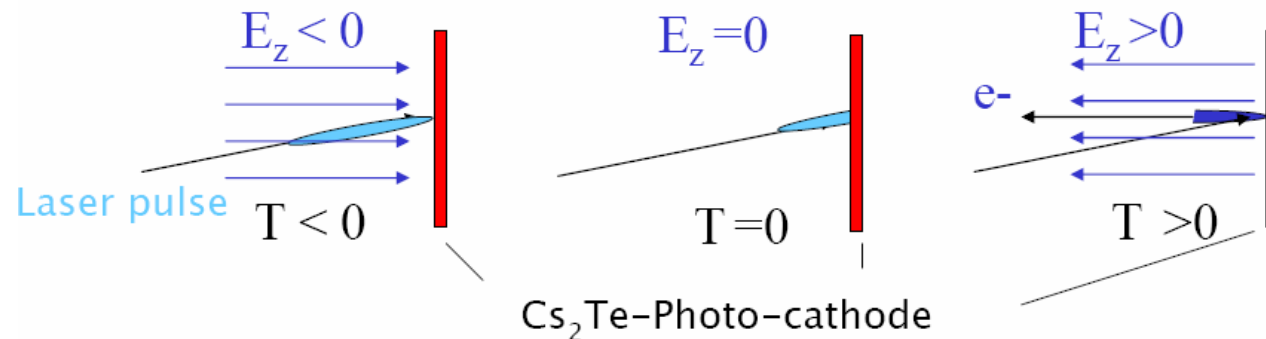


# Emission phase stability measured with beam (H. Schlarb)

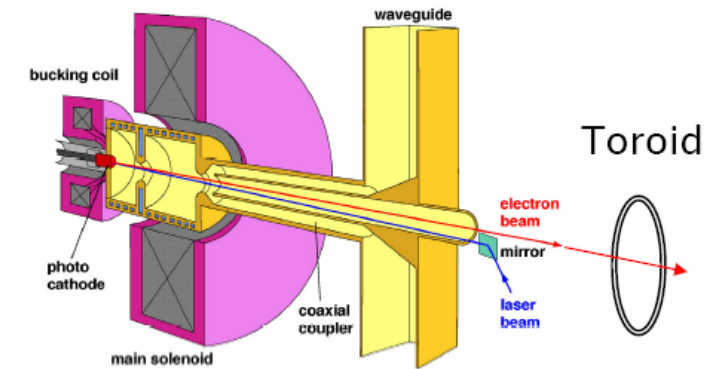
- indirect rf phase measurement
- bunch charge depends on rf phase at 'edge'
- measurement resolution:  $\pm 0.1^\circ$   
 $\Rightarrow$  to be improved!



Sharp rising edge can be used to determine timing

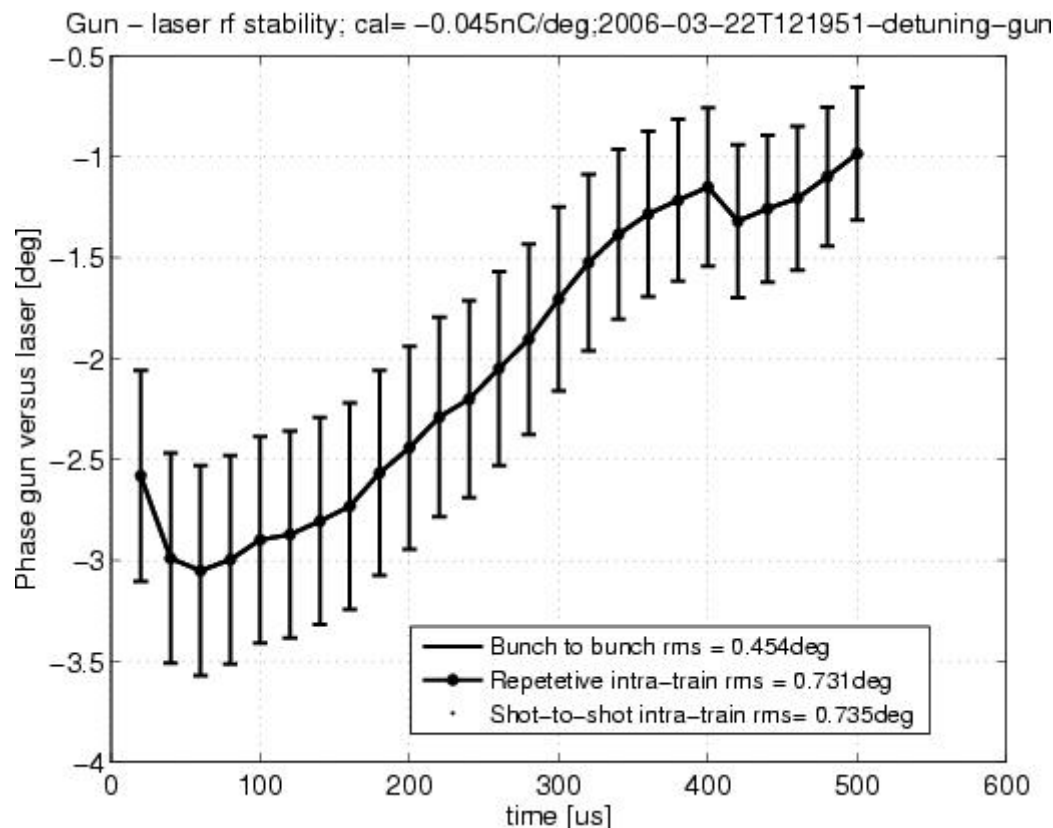


RF gun design (K. Flötman)



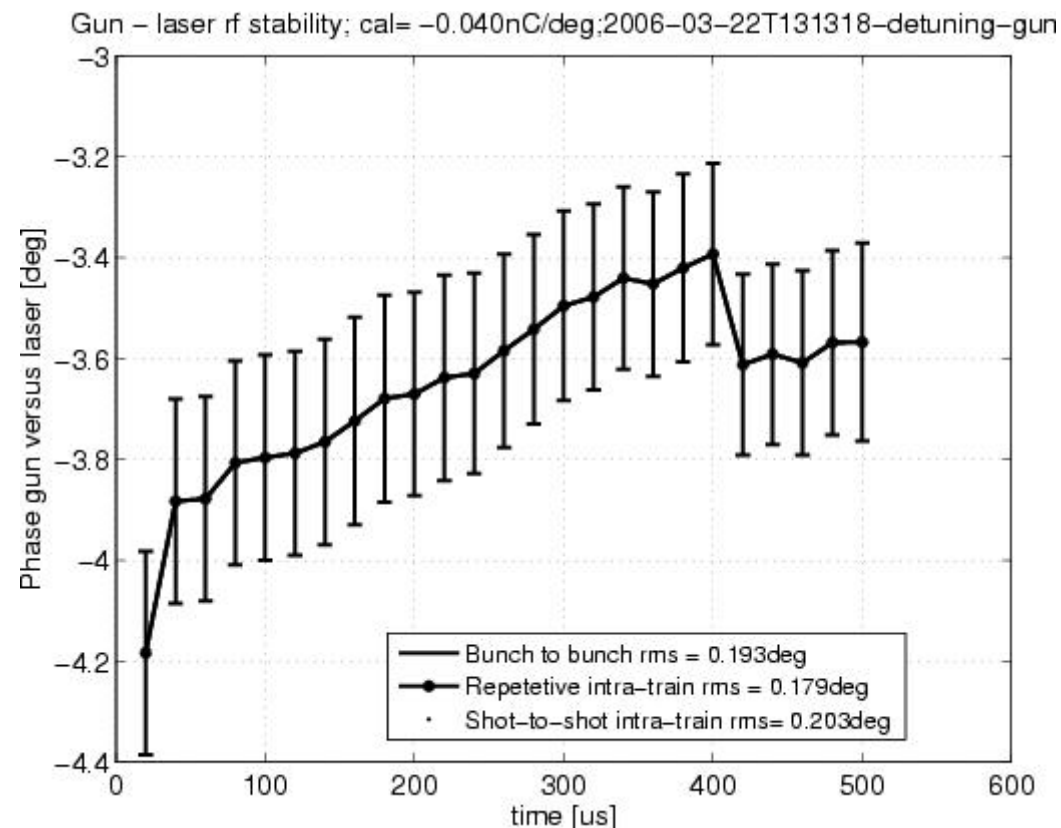
# Bunch to bunch stability

## RF drive only / similar to DSP



- resonance frequency change due to gun temperature change within pulse
- step caused by dark current kicker

## PI control

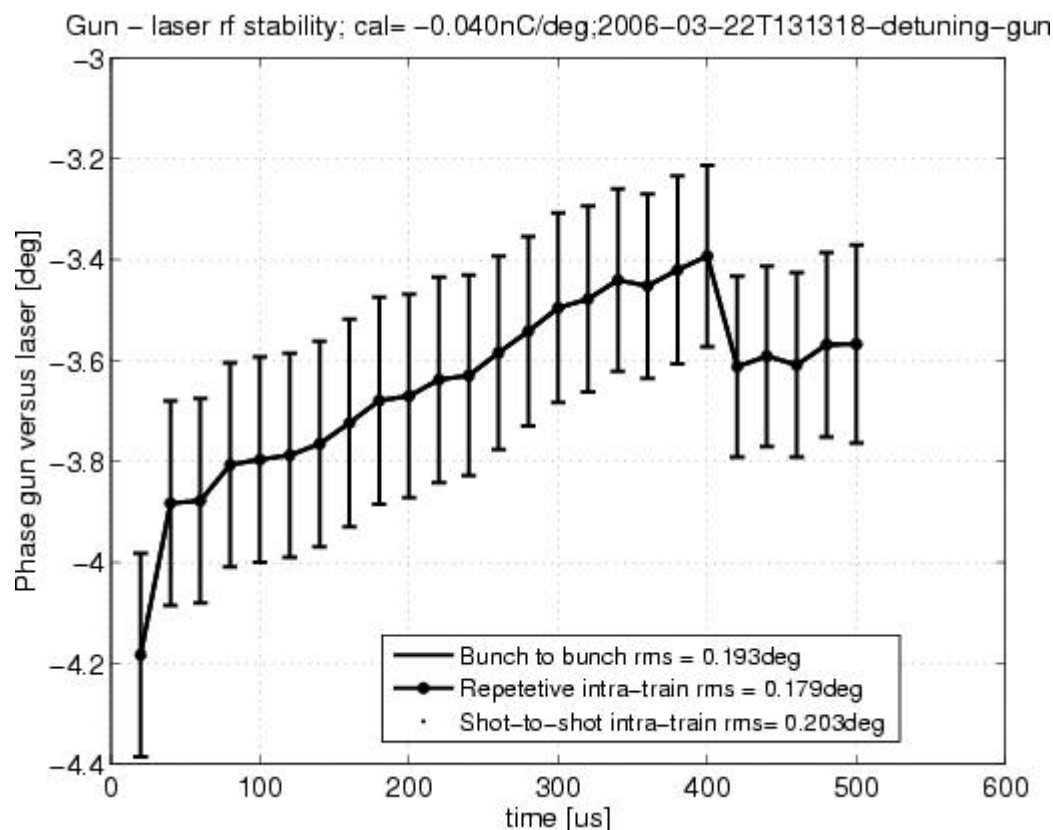


- error suppression by about 5 (= gain)



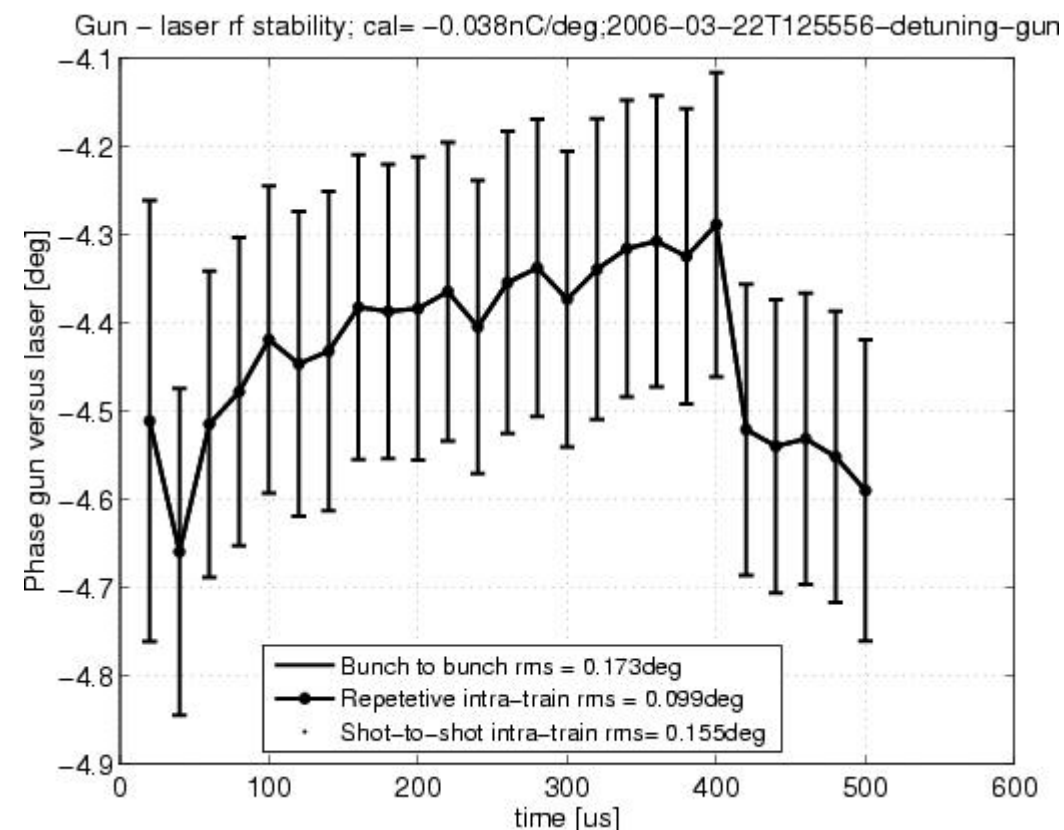
# Bunch to bunch stability (continued)

## PI control (repeated)



- error suppression by about 5 (= gain)

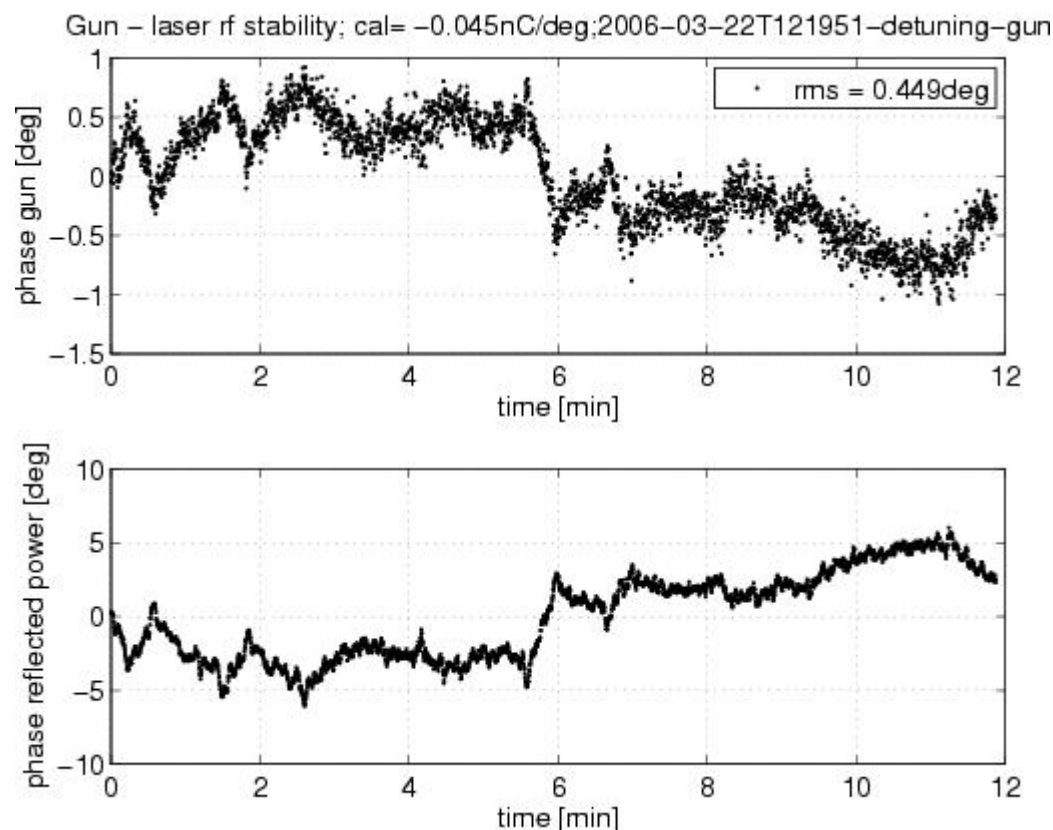
## Alternating AFF and PI control



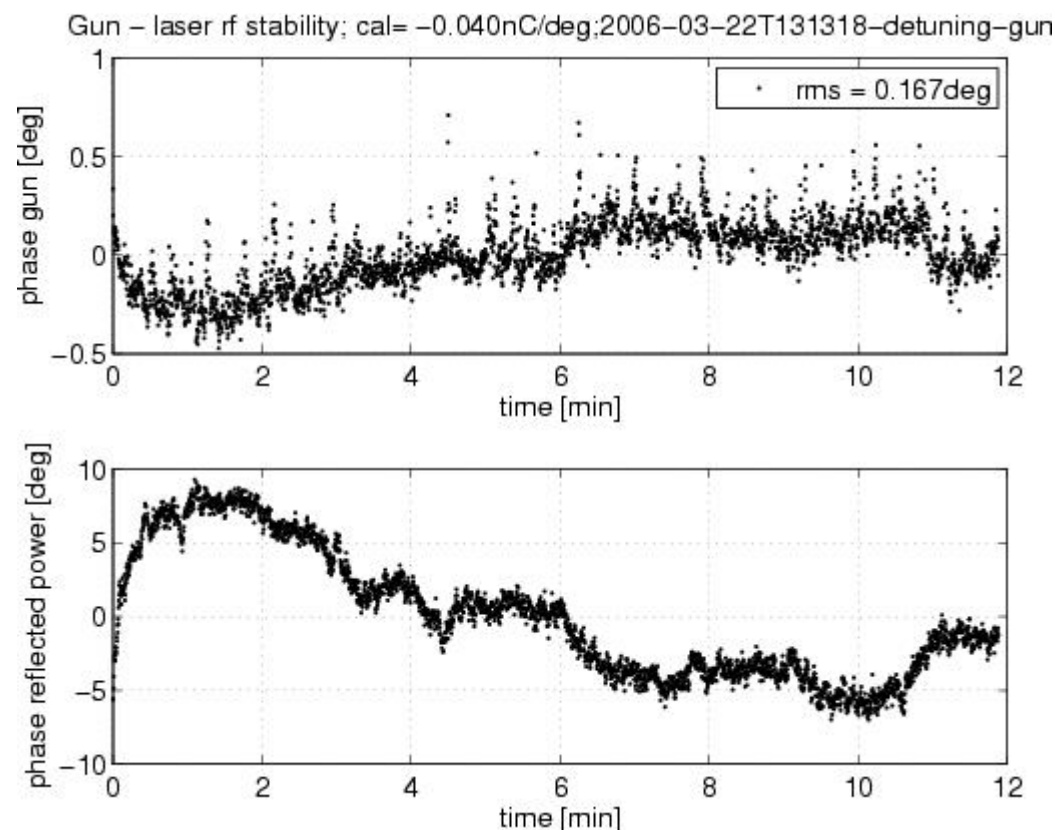
- gun temperature slope decreased by an other factor of 2

# Rf pulse to rf pulse stability

## RF drive only / similar to DSP



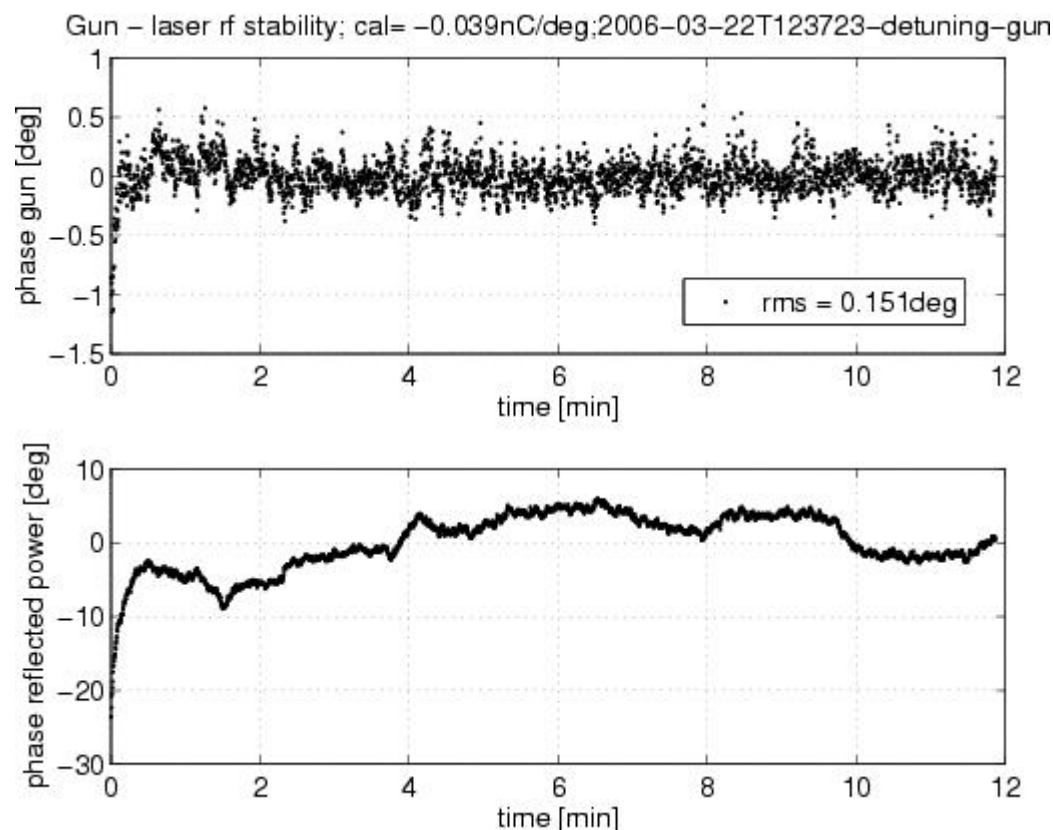
## PI control



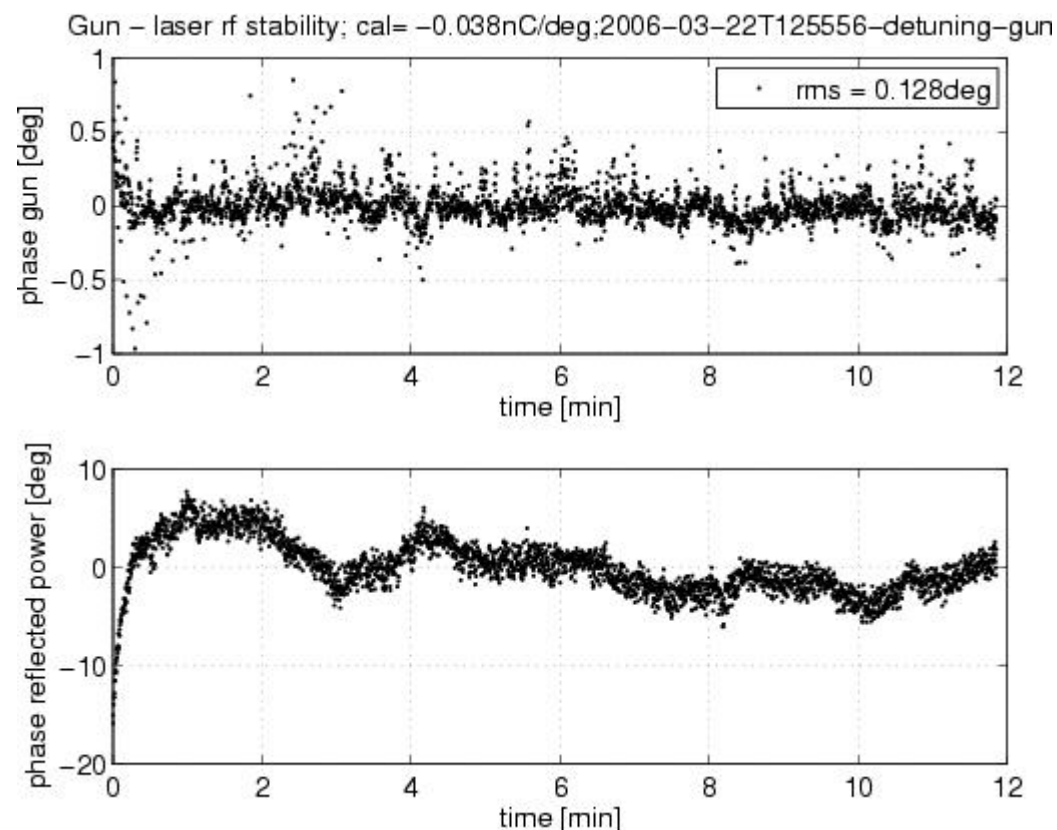
- resonance frequency changes together with the rf gun temperature
- the emission phase changes
- error suppression by about 3 ( $\propto$  gain)

# Rf pulse to rf pulse stability (continued)

## AFF only



## Alternating AFF and PI control



- error suppression by about 5 (= gain)
- gun temperature slope decreased by an other factor of 2

## Subsequent studies since spring 2006

### In August 2006:

- 'improved' toroid signals
- slope on phase measured
- first operation with 800  $\mu\text{s}$
- SASE with 600 (800) bunches

### In October 2006:

- reflected power interlock due to second circulator removed

### In December 2006:

- operation with 800  $\mu\text{s}$  reestablished
- slope on phase due to gun laser?
- compensation of phase -> amplitude nonlinearity within forward power implemented

### In January 2007:

- compensation of phase -> amplitude nonlinearity within sensor part
- hopefully 'final' measurements?

## Summary: gun rf control

### Rf gun control with DSP:

- insufficient processing power for virtual probe (forward - reflected)
- only forward power was regulated
- field stability  $> 2^\circ$   
 $< 0.5^\circ$  required for SASE

### Rf gun control with SimCon 3.1:

- sufficient processing power for virtual probe
- sufficient processing power for rf pulse to rf pulse AFF
- field stability obtained: rms  $\sim 0.15^\circ$   
fine for SASE at FLASH

### What remains open?

#### Repetition of qualification measurements:

- without dark current kicker and other problems
- also for AFF & P-control